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JB PRITZKERMARIO TRETO, JR.LAURIE MURPHYGovernorSecretaryDirector

# Illinois Department of Financial & Professional Regulation, Division of Real Estate Real Estate Administration and Disciplinary Board OPEN Minutes

Date: January 11, 2024

Call to Order: 9:36 a.m. –Monica Gutierrez – Chairperson

Location: IDFPR - Division of Real Estate

555 West Monroe Street 5th Floor, Room 5C5

Chicago, IL 60661

And

Via Interactive Video Conference

320 West Washington Street, 2<sup>nd</sup> Floor, Conference Room 258

Springfield, IL 62786

Board Member(s) Present: Valerie Acosta, Joe Castillo, Oralia Herrera, Carol Meinhart, Michael

Oldenettel, Nykea Pippion McGriff, Victoria Sampah, Theodore Yi

Board Member(s) Absent: Loretta Alonzo-Deubel, Gaspar Flores Jr., Shirin Marvi, Norm Willoughby

Division Staff Present: Laurie Murphy- Director of Real Estate, Jeremy Reed – Real Estate Deputy

Director, Adrienne Levatino – Associate General Counsel, Nathaniel Chandler – Chief of Licensing and Education, Hector Rodriguez – Chief of Investigations, Merle Shearer – Chief of Prosecutions, Daniel Kazlauski – Staff Attorney, Devlin Gordon – Staff Investigator, Jennifer Rossiter Moreno – Operations Manager, Susan Sigourney – Board Liaison, Debra

Malinowski – Board Liaison

Guest(s) Present: Larry Toban – Real Estate Institute, Mike Fair – Your House Academy,

Rocky Esposito – AHI Real Estate, Kirk Antkiewicz – Chicago Association of Realtors, Melissa Cannata – CE Shop, Young Brockhouse – Illinois Realtors Licensing & Training, Marilyn Glazer – Colibri RE Express,

Sharon Halperin – Oak Park Area Association of Real Estate, Cleo Aquino – SPIRE Real Estate Education, Harriet Kubicz – Mainstreet Organization, Jennifer Sjoblom- Baird & Warner, Sean Morrissey – Real Estate Managing

Broker.

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Topic	Discussion	Action
Call to Order	Chairperson Monica Gutierrez opened the meeting.	The meeting was called to order at 9:34 am.
	Director Murphy announced that Merle Shearer is the new Chief of Prosecutions for the Division of Real Estate.  Director Murphy gave a brief biography of Mr. Shearer's professional career.	
Approval of Open Minutes	The Board reviewed the Open Minutes from the December 14, 2023, Real Estate Administration and Disciplinary Board Meeting.	Motion made by Pippion McGriff seconded by Sampah to approve the Open Minutes from the December 14, 2023, meeting. Motion carried unanimously.
Public Comments	Sean Morressey mentioned that IDFPR investigators are investigating further actions of a licensee beyond the alleged actions in the initial complaint and he believes that the investigator should only investigate the alleged actions in the initial complaint. Mr. Morressey also mentioned that he was denied contact information for Secretary Treto and he believes that it would beneficial for licensees to have the Secretary's contact information. Ms. Levatino mentioned that she would pass his comments regarding contact information on to leadership.	
Licensing Report	The Licensing Report for activity conducted in December 2023 was presented and discussed. A copy of the report is attached to and made a part of these minutes.  Mr. Chandler mentioned that for December, the pass rate on the managing broker's national portion of PSI's exam, for first time takers, was seventy-one percent. For the past several months, the Department has seen an improvement in the managing broker's national portion pass rate, so it appears that the changes that were made to PSI's exam in October 2023 have been positive.  Mr. Chandler mentioned that Licensing is preparing to get the 2024 online broker renewal application available on IDPFR's portal by the first week in February 2024. Mr. Chandler further mentioned that the call center will be able to reset the licensee's password, thus relieving what appears to be the main problem licensees encounters when renewing their license.	

Topic	Discussion	Action
•	Mr. Oldenettel thanked Mr. Chandler and the staff for	
	helping the licensees reset their passwords.	
	Mr. Authiornian in arrived about the atotac of the	
	Mr. Anthiewicz inquired about the status of the Administrative Rule implementing of the Real Estate	
	Licensing Act of 2000. Ms. Levatino reported that the	
	Amendment to the Rule became effective January 1, 2024	
	and should be published in the Illinois register on January	
	12, 2024.	
Education Report	The 2023 Education Report through the month of	
	December was presented and distributed. A copy of the	
	report is attached to and made a part of these minutes.	
Complaints Report	The 2023 Complaints Report through the month of	
Complaints Report	December was presented and distributed. A copy of the	
	report is attached to and made a part of these minutes.	
	Topics to with minut a part of visco minute.	
Audits Reports	The Audits Report for activity conducted in December	
	2023 was presented and distributed. A copy of the report	
	is attached to and made a part of these minutes.	
Investigations Report	The 2023 Investigations Report through the month of	
	December was presented and distributed. A copy of the	
	report is attached to and made a part of these minutes.	
	Mr. Rodriguez introduced Devlin Gordon as the new real	
	estate investigator. Ms. Gordon gave a brief biography of	
	her professional career.	
	Mr. Oldenettel asked how many investigators the	
	Department should have. Mr. Rodriguez mentioned that	
	there should be twelve investigators and the Department	
	currently has seven. There were additional comments	
	made regarding this topic.	
	Mr. Toban mentioned that the Real Estate Licensing Act	
	requires one investigator for every 10,000 licensee; and	
	inquired what's the "bottleneck" in staffing up the	
	appropriate level. Ms. Levatino mentioned that the	
	Department is doing everything it can within the	
	parameters of the personnel engagement process in the	
	state of Illinois, the constraints of budget, and subject to	
	the hiring process.	

Topic	Discussion	Action
	Mr. Toban inquired if the Department notifies a complainant that their case has been closed. Mr. Rodriguez replied that a complainant is not automatically notified that their complaint has been closed, but that if a complainant asks about the status of their complaint, then the Department will send them a letter.	
Prosecutions Report	The 2023 Prosecutions Report through the month of December was presented and distributed. A copy of the report is attached to and made a part of these minutes.  Mr. Shearer gave a detailed biography of his professional career.  Mr. Shearer introduced Daniel Kazlauski as the new Real Estate Division's attorney. Mr. Kazlauski gave a brief biography of his professional career.	
Real Estate Recovery Fund Report	The Real Estate Recovery Fund Report for the 2024 Fiscal Year through December 2023 was presented and distributed. A copy of the report is attached to and made a part of these minutes.	
Formal Hearing Schedule	There are two cases scheduled for a formal hearing.	
Old Business	There was no old business discussed.	
New Business	There was no new business discussed.	
Motion to go into Closed Session	Roll Call Vote: Valerie Acosta, yes Joe Castillo, yes Oralia Herrera, yes Carol Meinhart, yes Michael Oldenettel, yes Nykea Pippion McGriff, yes Victoria Sampah, yes Theodore Yi, yes	A motion made by Pippin McGriff seconded by Herrera to go into Closed Session for purposes of reviewing Closed Minutes and for deliberations pursuant to Section 2 (c) (4) and (15) of the Open Meetings Act at 10:00 a.m. Motion carried unanimously by roll call vote.
Closed Session	The December 14, 2023 closed session minutes were reviewed by the Board.	

Topic	Discussion	Action
	The Board reviewed the Consumer Complaints Review and Case File Review Committees' reports for:  December 20, 2023  6 Cases Recommended for Closure by Investigations  3 Cases Referred to Prosecutions by Investigations  3 Case for Closure by Prosecutions  13 Complaints Referred to Investigations  2 Complaints Recommended for Closure   January 9, 2024  3 Cases Recommended for Closure by Investigations  0 Cases Referred to Prosecutions by Investigations  3 Cases for Closure by Prosecutions  8 Complaints Referred to Investigations  1 Complaint Recommended for Closure	
Motion to go into	1 Complaint recommended for closure	A motion was made by Oldenettel
Open Session		seconded by Castillo, to go into Open Session at 10:32 a.m. Motion carried unanimously.
Approval of December 14, 2023 Closed Minutes		Motion made by Pippion McGriff, seconded by Acosta to approve the December 14, 2023 Closed Minutes. Motion carried unanimously.
Recommendations	2 Cases were deliberated during Closed Session.	
	IDFPR v. Brad Gouchenouer Case #2019-11025	The Board recommends an indefinite suspension for a minimum period of 6 months, and imposition of a \$2,500.00 fine, upon Brad Gouchenouer
	IDFPR v. Nichelle Cole/ Dreams Fulfilled Realty Inc. Case #2020-00463	The Board recommends an indefinite suspension for a minimum period of 6 months, and imposition of a \$5,000.00 fine, upon both Nichelle Cole and Dreams Fulfilled Realty Inc.
The Board signed 2 Findings of Facts, Conclusions of Law and Recommendations to		IDFPR v. Brad Gouchenouer Case #2019-11025 IDFPR v. Nichelle Cole/ Dreams Fulfilled Realty Inc. Case #2020-

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Topic	Discussion	Action
the Director		00463
		Motion made by Pippion McGriff seconded by Sampah to ratify the actions of the Consumer Complaint Review (CCR) and Case File Review Committee (CRC) and to approve the Board's recommendations presented in Closed Session. Motion carried unanimously.
Orders	4 Consent and Non-Disciplinary Orders were reviewed and discussed in Closed Session.	The Board signed 4 Consent and Non-Disciplinary Orders.
December 14, 2023 Closed Minutes remain closed. Recommendations	The Board received a report that reflected that there were 11 final actions by the Director on Consent Orders previously signed by the Board. 2019-12354 Pam MacPherson 2019-12354 Hayley Westhoff 2020-01884 Candice Payne/ 5 <sup>th</sup> Group Corp 2020-03731 Brandon Daniel Trujillo 2020-03731 Maritza Andrade /Antonio Andrade/ Andrade International Realty 2020-05276 Jennifer Fireston 2020-06105 Eva Sanchez 2020-08311 Sarai Venegas 2020-08311 Andrew Shiparski 2023-09098 Steve Abdullah 2023-10080 Nicholas Kuhn	Motion made by Pippion McGriff, seconded by Herrera that the December 14, 2023 READ closed minutes remain closed. Motion carried unanimously.
Adjournment	The next meeting is scheduled for February 8, 2024.	There being no further business to conduct, a motion was made by Pippion McGriff, seconded by Acosta to adjourn at 10:34 a.m. Motion carried unanimously.

Topic	Discussion	Action

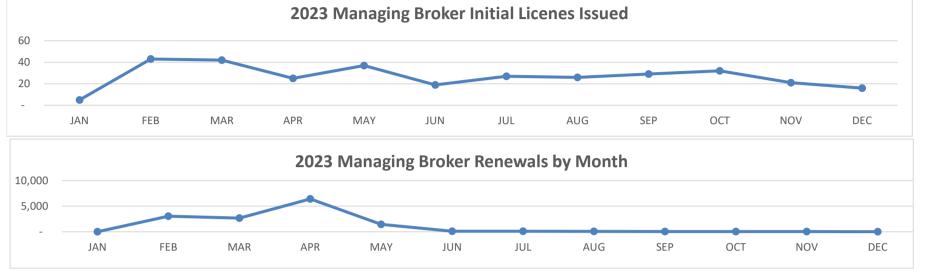
		LIC	ENSE REPORT			
		CALE	NDAR YEAR 202	3		
			DECEMBER			
Prefix	PROFESSION	SPONSOR CHG.	INITIAL LIC.	RENEWALS	ACTIVE	
471	RE Managing Broker	82	16	15	14,316	
473	Residential Leasing Agent	30	45	6	3,357	
475	Real Estate Broker	808	290	19	59,595	
477	RE Branch Office	2	9	0	1,196	
478	Real Estate Broker Corporation	5	3	2	3,068	
479	Real Estate Broker Partnership	0	0	0	24	
481	RE Limited Liability Firm	3	18	2	2,274	
495	RE Virtual Office	0	1	0	60	
515	RE Education Provider	0	0	0	66	
512	RE Pre-Lic Instructor	0	2	0	258	
513	RE Pre-Lic Course	0	1	0	471	
563	RE CE Instructor	0	1	1	134	
564	Real Estate CE Course	0	9	0	602	
	TOTAL	930	395	45	85,421	
1						

# **MANAGING BROKER 2023**

	JAN	4EB	MAR	APR	MAY	JUN	JUL	AUG	SER	oct	MON	DEC	$\overline{/}$
INITIAL LIC.	5	43	42	25	37	19	27	26	29	32	21	16	
RENEWALS	4	3,046	2,663	6,414	1,431	103	107	81	47	31	37	15	İ
SPONSOR CHG.	119	131	108	87	119	71	48	70	61	72	132	86	
2023 TOTAL ACTIVE	15,498	15,525	15,564	15,594	15,639	15,658	13,988	14,091	14,172	14,233	14,281	14,319	i

# **MANAGING BROKER 2022**

	JAN	140	WAR	APR	WAY	JUN	JUL	AUG	SER	\oct \	MON	DEC	$\overline{/}$
INITIAL LIC.	28	34	46	33	27	25	20	22	13	17	11	16	
RENEWALS	30	19	17	20	12	8	4	7	7	29	5	6	
SPONSOR CHG.	93	73	94	20	55	65	48	72	80	121	87	91	
2022 TOTAL ACTIVE	15,353	15,390	15,460	15,528	15,567	15,596	15,620	15,638	15,654	15,663	15,463	15,470	





#### **BROKER 2023**

						DIVOINE	2020								
	JAN		4ED	MAP	APR	Max	JUP	/	JUV /	MC	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	/ <sub>o</sub> ć	V <sub>O</sub> M	JEC ,	7
INITIAL LIC.	33	2	330	529	291	408	449		290	413	313	348	308	268	i
RENEWALS	6:	3	94	92	68	65	38		39	28	26	27	33	19	ı
SPONSOR CHG.	1,09	3	1,083	1,035	759	1,016	633		612	673	697	855	793	808	i
2023 TOTAL ACTIVE	55,55	1	55,885	56,478	56,86	6 57,31°	57,725	5	57,999	58,383	58,682	59,061	59,344	59,595	i

#### **BROKER 2022**

	JAN	/ th	MAR	APP	MAY	Ju <sup>p4</sup>	\	AUC		/ oct	<sup>k</sup> 0 <sup>k</sup>	\ \dip{\chi_c} /
INITIAL LIC.	429	53	2 594	494	432	498	416	494	314	291	314	367
RENEWALS	22	7,79	7 8,430	25,952	5,302	707	328	186	98	105	72	103
SPONSOR CHG.	833	63	9 768	770	588	648	497	593	759	799	693	814
2022 TOTAL ACTIVE	62,79	0 63,27	63,85	5 64,397	64,852	61,597	62,333	62,802	63,202	63,516	54,688	55,205









#### **RESIDENTIAL LEASING AGENT 2023**

	JAN	/ <sub>4</sub> \$	MAR	p.g.P.	MAY	JUP	JUL.	AUC	g\$	/ oct	NO4	\\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\ \\
INITIAL LIC.	46	54	83	53	65	68	50	61	50	44	50	45
RENEWALS	24	15	18	13	16	14	11	14	10	8	5	6
SPONSOR CHG.	32	36	58	46	42	36	37	24	33	28	33	30
2023 TOTAL ACTIVE	2,686	2,744	2,833	2,906	2,975	3,043	3,081	3,161	3,212	3,262	3,306	3,360

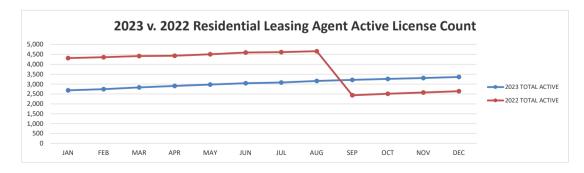
#### **RESIDENTIAL LEASING AGENT 2022**

	JAŽ		MAG	NO.	na <sup>r</sup>	JUN	\	, pulo	, gg	/ oct	MON NOW	\&\ \
INITIAL LIC.	68	62	72	14	73	86	47	52	58	49	54	36
RENEWALS	3	7	6	213	227	406	963	255	39	31	19	13
SPONSOR CHG.	46	39	48	29	38	37	37	28	43	28	31	41
2022 TOTAL ACTIVE	4,314	4,361	4,419	4,432	4,509	4,594	4,615	4,662	2,436	2,510	2,576	2,640









as of... 11/30/2023 12/31/2023

	as or	11/30/2023	12/31/2023
License prefix	License Type	Active Licenses	Active Licenses
440	Licensed Auctioneer	236	236
441	Licensed Auctioneer	685	690
444	Licensed Auction Firm	182	183
445	Licensed Auction CE School	6	6
446	Licensed Auction CE Course	49	49
	<u>I</u> Totals		1,164
	Totalo	1,130	1,104
		Active	Active
License prefix	License Type	Licenses	Licenses
553	Certified General Real Estate		
	Appraiser	1,329	1,338
555	Licensed Appraiser Education Provider	21	22
556	Certified Residential Real Estate	21	22
330	Appraiser	1,686	1,690
557	Associate Real Estate Trainee		
	Appraiser	318	320
558	Appraisal Management Company	422	422
572	Temporary Practice Real Estate	132	133
572	Appraiser	29	29
573	Licensed Appraiser Pre-Lic		
	Course	122	124
575	Licensed Appraiser CE Course	492	496
	Totals	4,129	4,152
License prefix	License Type	Active	Active
License prenx		Licenses	Licenses
261	LICENSED COMMUNITY	4 700	
004	ASSOCIATION MANAGER	1,723	1,747
291	Community Association  Managment Firm	124	120
		134	139
	Totals	1,857	1,886
		Antimo	Active
License prefix	License Type	Active Licenses	Active Licenses
450	Licensed Home Inspector	1,688	
451	Licensed Home Inspector Entity	181	182
452	Licensed Home Inspector	181	102
	Education Provider	25	25
453	Licensed Home Inspector Pre-		
	License Course	25	25
454	Licensed Home Inspector CE	149	149
	Course Totals	2,068	2,082
			. , UX7

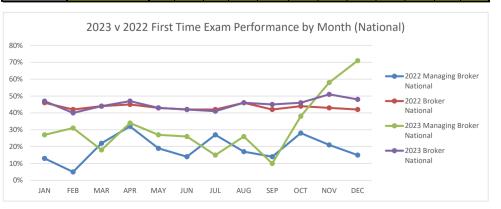
Tataliliannasa	0.212	^	204
Total Licenses	9,212	9	,284

#### 2023 Real Estate Examination Pass Rates

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2023	First Time	27%	31%	18%	34%	27%	26%	15%	26%	10%	38%	58%	71%
Managing	First Time Takers	37	32	40	35	43	31	27	34	29	26	25	34
Broker	Repeat	28%	23%	27%	26%	33%	35%	33%	23%	31%	54%	50%	35%
National	Repeat Takers	47	40	56	46	43	52	36	52	48	46	30	34
2023	First Time	82%	79%	68%	85%	86%	85%	76%	74%	75%	86%	73%	86%
Managing	First Time Takers	22	19	25	20	22	13	17	19	16	14	11	22
Broker	Repeat	50%	64%	70%	100%	100%	90%	80%	82%	50%	55%	86%	71%
State	Repeat Takers	6	11	10	6	2	10	5	11	4	11	7	7
Managing	First Time	57%	100%	94%	55%	83%	100%	70%	57%	75%	100%	100%	25%
Broker	First Time Takers	7	3	16	11	6	3	10	7	8	2	2	4
Reciprocity	Repeat	0%	0%	50%	0%	50%	0%	100%	50%	100%	0%	50%	0%
Exam	Repeat Takers	0	0	2	2	2	0	1	2	1	0	2	2
	First Time	47%	40%	44%	47%	43%	42%	41%	46%	45%	46%	51%	48%
2023 Broker	First Time Takers	522	502	581	573	582	524	464	486	430	413	383	398
National	Repeat	32%	29%	33%	30%	30%	29%	31%	29%	31%	38%	41%	35%
	Repeat Takers	388	491	580	555	520	536	481	487	436	447	403	392
	First Time	60%	55%	57%	56%	58%	53%	54%	59%	57%	59%	58%	55%
2023 Broker	First Time Takers	361	351	426	374	391	344	280	317	275	248	255	271
State	Repeat	47%	43%	47%	52%	45%	41%	47%	47%	47%	50%	48%	49%
	Repeat Takers	223	271	285	292	271	286	251	249	244	269	223	231
Broker	First Time	81%	74%	63%	75%	71%	79%	72%	71%	75%	75%	48%	65%
Reciprocity	First Time Takers	31	27	41	36	28	29	39	28	32	19	21	20
Exam	Repeat	19%	38%	50%	75%	80%	44%	50%	50%	63%	47%	42%	67%
EXAIII	Repeat Takers	16	8	10	16	5	9	8	14	8	15	12	3
	First Time	48%	58%	50%	56%	56%	65%	49%	50%	47%	53%	50%	62%
Leasing Agent	First Time Takers	60	76	102	78	73	94	72	74	59	64	74	60
National	Repeat	26%	60%	47%	44%	43%	36%	52%	43%	58%	44%	44%	35%
	Repeat Takers	61	55	62	54	57	47	50	63	38	52	57	46
	First Time	40%	25%	29%	100%	33%	0%	25%	100%	40%	60%	50%	0%
RE Instructors	First Time Takers	5	4	7	1	3	2	8	1	5	5	3	0
National	Repeat	50%	50%	33%	60%	100%	0%	29%	0%	33%	33%	60%	100%
	Repeat Takers	5	2	9	5	1	0	7	3	3	9	5	1
	First Time	67%	75%	83%	100%	0%	100%	100%	100%	67%	80%	100%	0%
RE Instructors State	First Time Takers	3	4	6	1	2	1	3	1	3	5	3	0
	Repeat	0%	100%	0%	0%	0%	50%	0%	50%	0%	33%	0%	0%
	Repeat Takers	0	1	0	0	0	2	0	2	0	3	2	0

#### 2022 Real Estate Examination Pass Rates - Pass Rate

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2022 Managing	First Time	13%	5%	22%	32%	19%	14%	27%	17%	14%	28%	21%	15%
Broker	Repeat	26%	33%	28%	22%	23%	18%	19%	41%	22%	22%	37%	22%
National	Total Test Takers	88	86	121	136	50	59	70	67	65	71	64	72
2022 Managing	First Time	86%	69%	76%	88%	93%	79%	71%	74%	88%	91%	76%	86%
Broker	Repeat	80%	67%	89%	67%	100%	50%	60%	33%	100%	17%	60%	50%
State	Total Test Takers	47	45	73	73	29	30	32	39	31	37	33	29
Managing Broker	First Time	60%	82%	50%	100%	0%	67%	100%	0%	50%	67%	100%	83%
Reciprocity Exam	Repeat	0%	100%	0%	0%	0%	0%	0%	0%	0%	0%	0%	0%
Accipiocity Exam	Total Test Takers	5	12	8	5	4	4	2	2	5	7	6	6
0000 D. J	First Time	46%	42%	44%	45%	43%	42%	42%	46%	42%	44%	43%	42%
2022 Broker National	Repeat	35%	44%	33%	29%	33%	29%	30%	26%	26%	30%	34%	33%
. racional	Total Test Takers	1026	1127	1386	1357	1186	1106	1058	1069	989	900	889	963
Broker	First Time	52%	55%	59%	59%	57%	54%	56%	59%	50%	61%	56%	57%
State	Repeat	47%	44%	48%	45%	41%	40%	43%	41%	38%	39%	47%	41%
J.L.I.O	Total Test Takers	853	962	1156	1126	988	946	876	897	860	747	750	829
Broker	First Time	38%	58%	64%	64%	66%	75%	38%	67%	85%	68%	63%	57%
Reciprocity	Repeat	13%	44%	33%	50%	30%	25%	50%	50%	50%	50%	45%	43%
Exam	Total Test Takers	65	69	85	90	72	48	31	59	32	27	35	42
Loosing Agent	First Time	52%	58%	47%	42%	48%	45%	42%	41%	56%	49%	53%	47%
Leasing Agent National	Repeat	44%	56%	48%	34%	45%	50%	35%	56%	51%	35%	40%	50%
	Total Test Takers	140	131	134	121	125	116	132	142	144	148	132	130



DRE Education's Pipeline

2023	(512) Pre-License Instructors Licensed	(513) Pre-License Courses Licensed	(563) CE Instructors Licensed	(564) CE Courses Licensed	(515) Education Providers Licensed	Total # of Licenses Issued	# in Process
January	3	0	2	6	0	11	21
February	0	0	0	1	0	1	9
March	1	1	0	1	0	3	9
April	6	0	6	20	3	35	9
May	5	0	1	6	0	12	16
June	3	1	3	3	0	10	14
July	4	3	3	44	0	54	63
August	1	10	2	17	1	31	32
September	1	7	2	6	1	17	13
October	6	0	1	9	0	16	19
November	2	0	1	16	0	19	18
December	2	1	1	9	0	13	12
YTD Total	34	23	22	138	5	222	
Total Active Licenses	256	470	132	592	66	Nate (	Chandler

### December 2023 Complaint Report

New RE Complaints	New RE Complaints Assigned To Investigations	Complaints Closed At Intake Review	RE Matters Closed At CCR
42	24	13	5
31	19	9	3
43	22	9	12
77	47	20	10
57	30	19	8
28	13	7	6
44	22	17	5
42	18	15	9
58	38	12	8
32	14	8	10
60	31	14	15
32	21	8	3
546	299	151	94
	42 31 43 77 57 28 44 42 58 32 60 32	Assigned To Investigations  42 24  31 19  43 22  77 47  57 30  28 13  44 22  42 18  58 38  32 14  60 31  32 21	New RE Complaints         Assigned To Investigations         Complaints Closed At Intake Review           42         24         13           31         19         9           43         22         9           77         47         20           57         30         19           28         13         7           44         22         17           42         18         15           58         38         12           32         14         8           60         31         14           32         21         8

#### MONTHLY EXAMINATIONS REPORT READ Board – JANUARY 11, 2024

Licensees that have not yet had an examination in the Chicago Area region are continuing to be assigned to the non-Chicago Area Region examiners. In the effort to accelerate the number of examinations to be conducted, *Brokerage Verification Reports* are being emailed to these licensees. The *BVR* report allows the examiner to assess the level of activity of the licensee then complete the examination process by email or schedule an on-site examination. [The Examinations Unit had limited staff in this reporting month.] The total number of initial examinations closed in <u>December</u> was 14 files.

#### INITIAL EXAMINATIONS COMPLETED

RESOLUTION TYPE: BROKERAGE VERIFICATION REPORT - NOT PRACTICING

**TOTAL COMPLETED: 5** 

An examination conducted by mail and the licensee has not performed licensed real estate activity within the past three years.

**RESOLUTION TYPE: BROKERAGE VERIFICATION REPORT - PRACTICING** 

**TOTAL COMPLETED: 6** 

An examination conducted by mail and the licensee has performed licensed real estate activity within the past three years.

**RESOLUTION TYPE: INSPECTED - NOT PRACTICING** 

**TOTAL COMPLETED: 0** 

An on-site examination has been conducted and the licensee has not performed licensed real estate activity within the past three years.

**RESOLUTION TYPE: INSPECTED - PRACTICING** 

**TOTAL COMPLETED: 0** 

An on-site examination has been conducted and the licensee has performed licensed real estate activity within the past three years.

**RESOLUTION TYPE: NOT INSPECTED** 

TOTAL CLOSED: 3

This category is comprised of licensees not requiring a complete examination. This includes licensees that are out-of-state residents, deceased licensees, companies that are out of business (or license status has become "inactive") or change of sponsorship.

RESOLUTION TYPE: REFERRED TO SUPERVISOR

**TOTAL CLOSED: 0** 

This category is comprised of licensees that have either not responded to a *Brokerage Verification Report* after two attempts from the examiner or has failed to appear for the scheduled on-site examination after two attempts.

RESOLUTION TYPE: SUPERVISOR REFERRAL TO PROSECUTION

TOTAL CLOSED: 0

This category is comprised of licensees that have not responded to a *Brokerage Verification Report* after three attempts from the supervisor. In these instances, the licensee has either failed to notify IDFPR of their correct address or failed to respond.

#### FOLLOW-UP EXAMINATIONS COMPLETED BY EXAMINER

Initial examinations conducted wherein violations have been found are required to show compliance. Completed in December:

RESOLUTION TYPE: IN COMPLIANCE

TOTAL COMPLETED: 7

#### EXAMINATIONS REFERRED TO SUPERVISOR – CLOSED

Licensees with remaining issues after an initial examination and follow-up or licensees that are unresponsive are referred to supervisor for review and further action. Completed in September:

RESOLUTION TYPE: ISSUES RESOLVED

TOTAL COMPLETED: 0

**RESOLUTION TYPE: REFERRED TO SUPERVISOR** 

TOTAL COMPLETED: 0

**RESOLUTION TYPE: DISCIPLINARY ACTION ON LICENSE** 

TOTAL COMPLETED: 0

**RESOLUTION TYPE: Miscellaneous** 

TOTAL COMPLETED: 0

**RESOLUTION TYPE: Returned to Examiner w/ Instruction:** 

TOTAL COMPLETED: 0

**RESOLUTION TYPE: Referred to Prosecutions:** 

TOTAL COMPLETED: 0

## December 2023 Investigations Report

									-		
Column1	Pending/Op en RE Cases	I	RE Cases 2 months or less	RE Cases Over 3 months	RE Cases Over 6 months	RE Cases over 9 months	RE Cases over 12 months	RE Cases Over 24 months	New Assigned to Investigations RE Cases Received	RE Cases Referred to Pros	RE Cases Closed
January	559	23	20	59	80	56	167	177	16	4	13
February	573	31	34	66	70	51	188	164	39	1	24
March	571	34	20	78	58	67	181	167	14	7	9
April	568	38							31	8	26
May	563	14	31	81	79	51	186	135	38	17	26
June	562	16	21	78	88	41	189	145	13	6	8
July	569	3	25	74	94	50	177	149	23	5	11
August	590	18	27	62	101	55	180	165	21	0	0
September	612	19	38	56	101	61	182	174	29*	5	2
October	601	8	20	64	90	73	185	169	13	8	16
November	620	26	19	66	89	69	200	177	20	1	0
December	628	6	37	59	73	75	215	169	34	4	22
Total									262	66	157
* 7 of the 29	are still hav	e a complai	nt status								0

December 2023 Prosecutions Report

Column1	Pending/Open RE Cases	RE cases 2 months or less	RE Cases over 3 months	RE Cases over 6 months	RE Cases Over 9 months	RE Cases Over 12 months	over 24	New RE Cases Rec'd	Complaints filed	Informal Conferences held	Formal Hearings held	RE Cases Closed	CLOSED: Admin	CLOSED: Admin Warning Letter	Closed: Order	Closed: Consent Order	CLOSED: Non-Disc Order	CLOSED: Income Tax	Motion of Rehearing Filed
January	108	46	29	15	1	13	4	27	4	0	0	33	4	2	1	3	0	23	0
February	121	58	25	19	3	11	5	41	3	0	0	28	2	0	1	4	2	19	0
March	127	73	23	17	3	7	4	47	2	0	1	41	3	0	5	4	1	26	2
April	157	94	33	15	4	7	4	65	1	1	1	35	2	1	1	4	3	24	0
May	187	119	32	16	9	7	4	72	8	0	0	42	1	2	1	1	0	37	0
June	213	121	58	10	13	8	3	74	4	0	0	48	1	0	1	5	3	35	3
July	174	79	63	12	8	9	3	33	2	0	1	72	16	3	5	1	1	46	0
August	133	33	61	22	5	9	3	12	5	0	1	53	1	1	1	0	0	50	0
September	101	27	31	23	6	10	5	20	3	0	0	52	3	0	0	8	10	31	0
October	113	38	23	34	4	9	5	25	5	2	1	13	1	1	2	0	0	9	0
November	90	26	21	28	5	6	4	7	2	0	1	30	5	0	8	5	3	9	0
December	85	19	22	27	4	9	4	14	4	3	0	19	7	0	0	6	3	2	1
Total								437	43	6	6	466	46	10	26	41	26	311	6
												0							

# **Real Estate Recovery Fund**

FY2024	Beginning Balance	Revenue	Interest	Transfers In		Expenditures	Transfers Out	Е	nding Balance
July	\$3,015,298.00							\$	3,015,298.00
August	\$3,015,298.00	\$16,000.00				(\$1,601.76)		\$	3,029,696.24
September	\$3,029,696.24					(\$7,918.58)		\$	3,021,777.66
October	\$3,021,777.66							\$	3,021,777.66
November	\$3,021,777.66							\$	3,021,777.66
December	\$3,021,777.66							\$	3,021,777.66
January								\$	-
February								\$	-
March								\$	-
April								\$	-
May	•				-			\$	-
June								\$	-
Total		\$ 16,000.00 \$	-	\$ -		\$ (9,520.34)	\$ - \$ -		