

**Illinois Department of Financial and Professional Regulation
Division of Professional Regulation**

Illinois Public Accountant Registration and Licensure Committee Business Meeting

August 1, 2017

Time: 11:05 a.m. Adjourn 1:32 p.m. (Franklin/Renteria)

Location: IDFPF Chicago Office, 100 W Randolph St., Suite 9-171A

Committee Members Present: Joan Waggoner, CPA, Chairperson
Dawn Carlson, CPA, Vice-Chairperson
Richard Franklin, CPA, Member
Hilda Renteria, CPA, Member

Committee Members Absent: Neil Gerber, CPA, Member
Jennifer Cavanaugh, CPA, Member
Jean Scott, Public Member

Staff Present: Jessica Baer, Director
Katy Straub, Legal Counsel
Isabella Janusz, Assistant General Counsel
Urooj Shauib, Law Clerk
Jim Koehl, Board Liaison

Guests: Sheldon Holzman, NASBA Regional Director
Marty Green, CPA Society

Topics:	Discussion:	Action:
Minutes	Minutes for September 27, 2016	Carlson/Franklin moved to approve the September 27, 2016 minutes. Motion carried.

Director Baer met with the Committee noting that she appreciates the time and effort put in by the Committee members. If there are any concerns the Committee members can reach out to her or to Ms Straub or Mr Koehl.

New Business

NASBA 2017 ED/Legal Conference

Director Baer and Ms Straub provided an overview of the meeting where they obtained valuable information, learning about industry trends and future goals of NASBA.

Legislation

Ms Straub noted that SB 899 when enacted will provide for firm mobility, a CPA Coordinator and grant full CPE reciprocity which means if the CPA licensee is compliant with CPE in their home state then they meet Illinois CPE requirements.

Mr Green commended Director Baer, Ms Straub and Legislative staff with their work on this Bill.

On-Line Applications

Director Baer noted that the paper applications for CPA will no longer be accepted as of September 1, 2017 where only on-line applications will be accepted.

NASBA Regional Meeting

Vice-Chairperson Carlson provided an overview of this meeting noting Peer Review, Regional Breakout Sessions, the Center for Public Trust, and CPE Standards as several of the topics.

NASBA Regional Director

Sheldon Holzman met with the Committee to provide an overview of his role as Regional Director noting that he is available to assist the Committee when needed.

Mr Holzman also works with the exam noting the feedback on the new exam is good.

Mr Holzman noted that NASBA Annual meeting will be in New York City this year on October 29-November 1st.

Illinois CPA Society

Marty Green thanked Director Baer regarding the on-line licensing. She noted if there are any issues or problems to let the Division know.

Mr Green provided a summary of Society activities that impact the Division.

Mr Green noted that the Society will be hosting town hall meetings.

Enforcement Report

A handout for the report covering the time from September 14, 2016 to July 26, 2017 was reviewed. Chairperson Waggoner provided the Committee with an overview.

Election of Officers

Chairperson Waggoner thanked the Committee noting her time in this role has been a great experience.

Nominations held for Chairperson and Vice-Chairperson.

Election of Officers (con't)

Franklin/Renteria moved to nominate Dawn Carlson as Chairperson. Motion carried.

Renteria/Franklin moved to nominate Neil Gerber as Vice-Chairperson. Motion carried.

Chairperson Carlson thanked Ms Waggoner for her service on the Committee.

Closed Session

Deliberation

Franklin/Carlson moved to go into closed session pursuant to Sections 2(c)(4) and (15) of the Open Meetings Act. Motion carried.

Subsequent to the motion a roll call was held and the following members voted unanimously to close the session.

Waggoner-yes
Carlson-yes
Franklin-yes
Renteria-yes

Those voting yes constituted a majority of the quorum of the members of the Board. The session closed at 12:40 p.m.

Franklin/Renteria moved to come out of closed session at 12:56 p.m. Motion carried.

Open Session

Deliberation in Closed Session

John Shoults
2013-005622

Franklin/Renteria moved to revoke 239-002444 with a \$2500 fine. Motion carried.

Closed minutes for September 27, 2016 be approved as written (Cavanaugh/Franklin). Motion carried.

Board Liaison Time

Addresses

The Committee address listing was verified by the members.

Travel Vouchers

Travel vouchers were distributed to the members.

Sign Findings

The Committee signed the Findings.

Next meeting date

The next meeting re-schedule for mid-November after the NASBA Annual meeting.

Adjournment

Franklin/Renteria moved to adjourn the meeting at 1:32 pm. Motion carried.

Exceptions and Comments

Deliberations-Let it be noted for the record that the Director's decision regarding disciplinary matters is withheld from the minutes and rendered at the time the Board presents their Findings of Fact, Conclusions of Law and Recommendations.
