



IDFPR

Illinois Department of Financial and Professional Regulation

Division of Professional Regulation

www.idfpr.com

JB PRITZKER
Governor

MARIO TRETO, JR.
Acting Secretary

CECILIA ABUNDIS
Acting Director

October 15, 2021

Principal Officer Tax Compliance Notice

Before awarding a Conditional License, the Department must perform a tax compliance check of each principal officer and confirm that no amounts are owed to the State of Illinois. Pursuant to 68 Ill. Adm. Code 1291.95, if the Illinois Department of Revenue determines a principal officer is not compliant, the Department will notify the principal officer via e-mail and the principal officer will have 60 days to provide proof of compliance from the Illinois Department of Revenue or the applicant will be denied the Conditional License and the next drawn applicant from the relevant lottery will be notified of its eligibility for a Conditional License. The Department will also notify the applicant group with whom the principal officer is associated that one of its principal officers has been found by the Illinois Department of Revenue to be tax delinquent or owing any amounts to the State of Illinois. The Department must deny all of the applicant entity's Conditional Licenses if one or more principal officers is unable to come into compliance within the timeframe provided in 68 Ill. Adm. Code 1291.95(c). **Principal officers and applicants have 60 days to provide proof of tax compliance from the Illinois Department of Revenue to IDFPR.**

In September 2021, the Department notified (1) the primary and secondary contacts for applicants that one of their principal officers may be tax delinquent or owe money to the State of Illinois; and (2) the principal officer that the individual may be tax delinquent or owe money to the State of Illinois. In that notice, the Department instructed that the principal officer must resolve the issue with the Illinois Department of Revenue and, following such resolution, submit proof of the resolution to IDFPR within 60 days. Principal officers who received this notice should contact the Department of Revenue directly at REV.prd@Illinois.gov for assistance on how to become compliant.